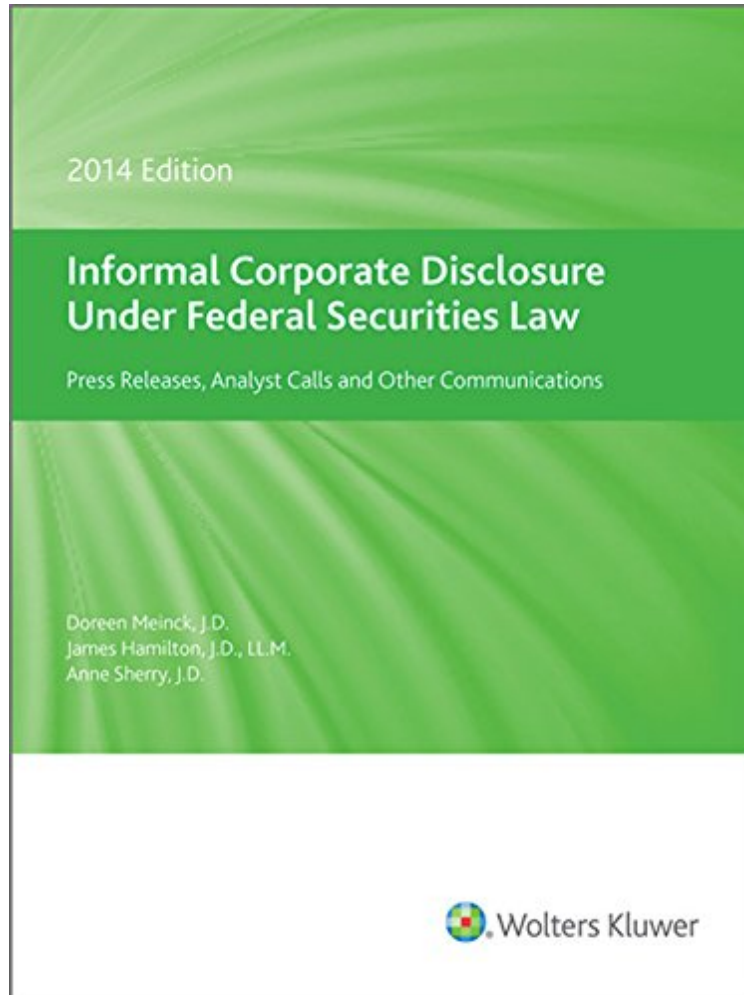


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Informal Corporate Disclosure Under Federal Securities Law

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of federal securities law, rules and court decisions; self-regulatory organization rules for listed companies, and standards of practice prescribed by the National Investor Relations Institute (NIRI). The 2014 Edition discusses the SEC's broad, new, comprehensive and holistic review of the agency's entire disclosure regime, including formal and informal disclosure, with specific areas such as forward-looking statements. The 2014 edition also contains an update of Dodd-Frank comply-or-explain provisions. In addition to incorporating these standards of practice, the 2014 Edition also discusses industry insights into how Regulation FD relates to shareholder engagement and insider trading enforcement.

About the Author James Hamilton is an artist and designer who lives in San Mateo, California.