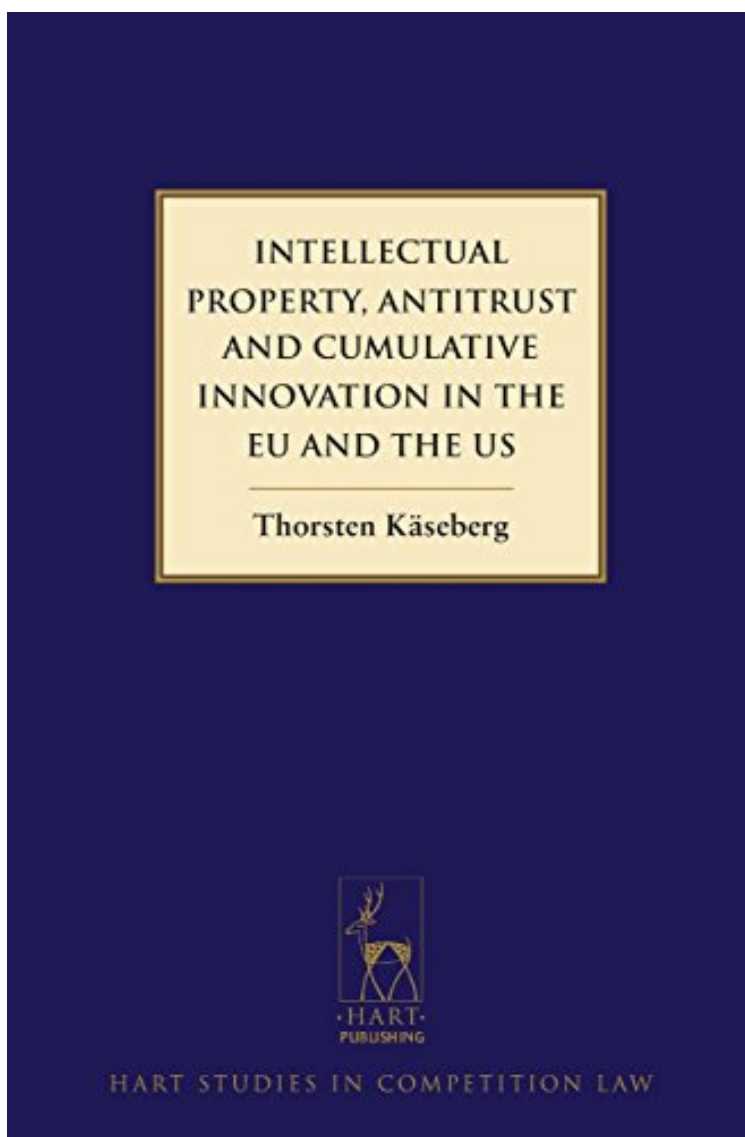


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Intellectual Property, Antitrust and Cumulative Innovation in the EU and the US (Hart Studies in Competition Law)

Thorsten Kaseberg

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Law):

For decades, the debate about the tension between intellectual property (IP) and antitrust law has revolved around the question to what extent antitrust should accept that IP laws may bar competition in order to stimulate innovation. The rise of IP rights in recent years has highlighted the problem that IP may also impede innovation, if research for new technologies or the marketing of new products requires access to protected prior innovation. How this 'cumulative innovation' is actually accounted for under IP and antitrust laws in the EU and the US, and how it could alternatively be dealt with, are the central questions addressed in this unique study. Taking an integrated view of both IP and antitrust rules - in particular, on refusals to deal based on IP - the book assesses policy levers under European and US patent, copyright, and trade secrecy laws, such as the bar for and scope of protection, as well as research exemptions, compulsory licensing regimes, and misuse doctrines. It analyzes what the allocation of tasks is and should be between these IP levers and antitrust rules - in particular, the law on abuse of dominance (Article 102 TFEU) and monopolization (Section 2, Sherman Act). Particular attention is paid to the essential facilities doctrine, including pricing methodologies for access to IP. Many recent decisions and judgments are put into a coherent analytical framework, such as IMS Health, AstraZeneca, GlaxoSmithKline (in the EU), Apple (France), Orange Book Standard (Germany), Trinko, Rambus, NYMEX, eBay (US), Microsoft, and IBM/T3 (both EU and US). Further topics are covered, including IP protection for software, interoperability information, and databases; industry-specific tailoring of IP; antitrust innovation market analysis; and the WTO law on the IP/antitrust interface. (Series: Hart Studies in Competition Law - Vol. 1)

This book is the first comprehensive account of the legal and policy, antitrust and IP, framework in regard to cumulative innovation and, as such, it is a valuable contribution for lawyers, both practising lawyers as well as academics. Last but not least, the comparative element of the research in relation to cumulative innovation contributes to the existing quest for awareness of informed divergence between the two major jurisdictions, the EU and the US. Common Market Law About the Author Dr Thorsten Kaseberg, a lawyer and economist, was a case-handler at the European Commission's Directorate-General for Competition between 2009 and 2011. He is currently working in the competition policy unit of the German Economics Ministry and was previously a lecturer in the Faculty of Law and Faculty of Economics at the Humboldt University Berlin, and a research assistant at the law firm Cleary Gottlieb Steen Hamilton in Brussels.