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The U.S. Master Federal Securities Law Guide is an essential roadmap to the vast body of federal securities laws, regulations and forms. The guide offers comprehensive, yet concise, explanations and analysis that help put the material in perspective. It covers the most significant provisions and SEC rules under the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Company Act of 1940, the Investment Advisers Act of 1940, and the Sarbanes-Oxley Act of 2002. The discussion is arranged by topic, including the following major areas: securities registration, prospectuses, issuer reporting, proxies, tender offers, insider transactions, fraud liability, market regulation, broker-dealers, investment companies, investment advisers, accounting and auditing, attorney reporting, and privacy. The 2007 Edition includes revised discussion of internal control over financial reporting, explaining recently-issued Auditing Standard No. 5 and SEC management guidance. It also examines executive compensation disclosure reforms and the new e-proxy rule. In addition, the work features analysis of other timely topics such as FIN 48, securities fraud litigation development, hedge fund advisers, elimination of the "tick test" under Rule 10a-1, adjusted filing fees, shareholder access to nominate directors, and deregistration by foreign private issuers.