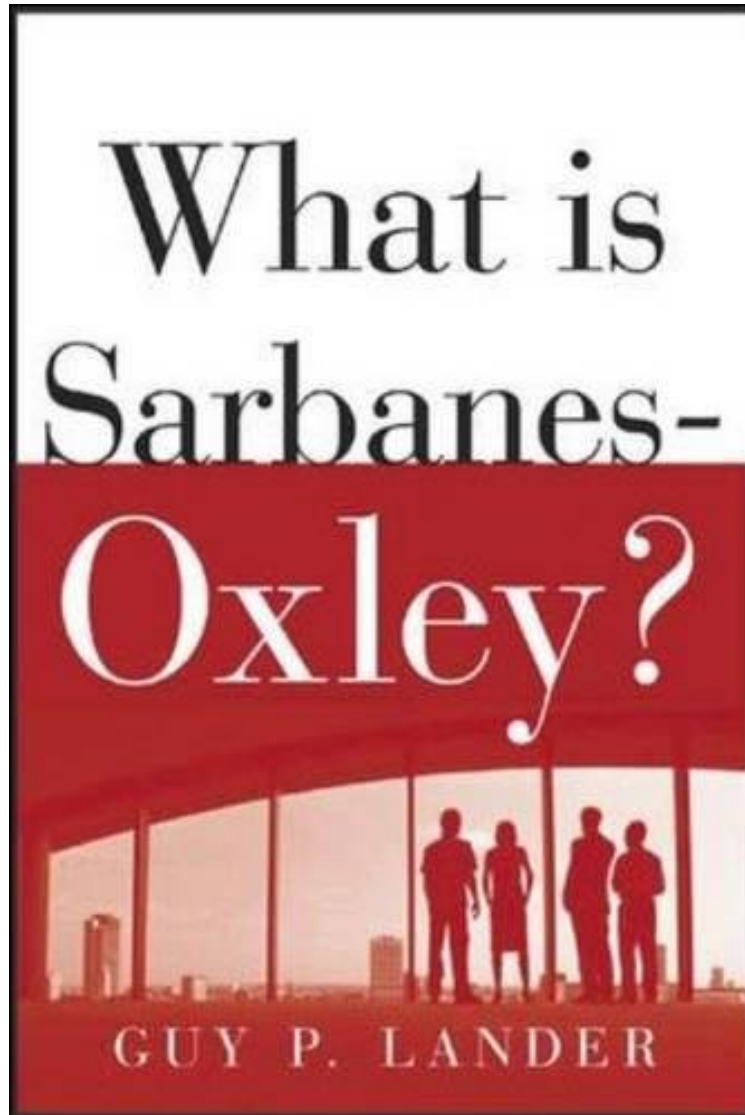


[Free] What is Sarbanes-Oxley?

What is Sarbanes-Oxley?

Guy Lander

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Guy Lander : What is Sarbanes-Oxley? before purchasing it in order to gage whether or not it would be worth my time, and all praised What is Sarbanes-Oxley?:

2 of 2 people found the following review helpful. Good, to the point, technical overview - A must read for any manager
By Michael T. Lloyd
I am trying to educate myself on the Sarbanes-Oxley Act (SOX) and bought this, and another book by Scott Green to get started. "What is Sarbanes-Oxley", is an excellent starting place. At just over 100 pages, the book gives an overview of the sections of the Act (404, 302, etc.) one needs to know in order to navigate through the terms, terminology and subtleties of the Act. It provides a good framework for parsing out specific

provisions, and how they apply in a broad sense to organizations. Aside from the good accounting practices and control environment and attestation discussions, sections on corporate governance and board and committee composition is clear and concise. Its a very good primer for anyone wanting to educate themselves on the most important piece of legislation affecting corporate America to come down the pike in decades. For the non-technical manager, who is, or will be (or should be) impacted by SOX, or to improve your own skills, I recommend this as a starting point on your road to enlightenment. Its every manager's responsibility to abide by the rules and protect your organization, and the 10 or so hours it will take you to read and understand this basic framework will put you on the right road and make you more valuable to your organization in the long run. Its a critical piece of literature for any manager interested in educating and promoting their own knowledge base to further their careers, or, at the very least, remain current and protect what you've achieved. As a side note, SOX does not apply to non-publicly traded companies and 501C type organizations. **READ THIS BOOK ANYWAY!** There is always room for improvement in the internal control environment and for good corporate governance, and you can either lead or be dragged along with changes. Its only a matter of time before some scandal somewhere is going to break, and provisions of the Act will be expanded to more and more types of companies. 21 of 21 people found the following review helpful. Disappointing
By M. Brant
I needed to know more about Sarbanes-Oxley for work and ordered this book. While I was waiting for it to arrive, I went onto the Internet and downloaded some information, including the text of the legislation itself. I read through the legislation. I'm not an attorney, and I wasn't motivated to cross reference the other laws/acts mentioned. In other words I just read the legislation as is, with no legal background. When this book arrived, I expected it would provide information and/or interpretations that I was not able to get directly from reading the legislation and other free materials. In truth, I found there was very little, if anything that I hadn't gathered directly from my other sources. If you are trying to learn what Sarbanes-Oxley is about and choose not to use the available information, this book does describe the basics. I would not recommend it, because it does not significantly add to, or simplify the information readily available for free. 5 of 5 people found the following review helpful. Finance Manager
By H. Roy Buck
This book provided a brief overview and was short on application which I required. Perhaps the book's intent did not comply with my needs. However, "Manager's Guide to Sarbanes Oxley Act" proved valuable and aided in presentation to our managers group for the need for internal controls.

Everything corporate employees must know to understand--and comply with--the Sarbanes-Oxley Act No law in recent memory has caused more confusion and apprehension in corporate America than the Sarbanes-Oxley Act (SOA). What Is Sarbanes-Oxley? is a concise, comprehensive overview of the act, filled with plain-English explanations of the vital details employees at every level must know and understand to help their firms achieve and maintain SOA compliance. Summarizing the text of the law for ease of understanding and reference, this vital addition to McGraw-Hill's What Is . . . ? series provides readers with: Guidelines for ensuring that a company's policies, procedures, systems, and controls are SOA compliant Management certification responsibilities and noncompliance penalties under hot-button Sections 302, 404, and 906 Techniques for modifying existing control systems and programs to meet new SOA specifications

From the Back Cover Everything You Need to Know About the Sarbanes-Oxley Act--What It Is, What Your Company Must Do to Comply, and More The Sarbanes-Oxley Act is unquestionably important to corporate America. It can also be complex and confusing, for everyone from the employees who must be doubly aware of what they can--and cannot--do to the officers who must take legal responsibility for the actions, errors, and omissions of those employees. What is Sarbanes-Oxley? provides you with a plain-English overview of the Act to help ensure that your firm achieves and maintains top-to-bottom Sarbanes-Oxley Act (SOA) compliance. Written in clear, concise, and readable language, with helpful checklists so that no requirements are overlooked, this SOA primer covers: Guidelines for ensuring that your company's policies, procedures, systems, and controls are Sarbanes-Oxley compliant Management certification responsibilities--and noncompliance penalties--under Sections 302, 404, and 906 Techniques for modifying existing control systems and programs to meet new SOA specifications Today's most competitive corporations--rather than view Sarbanes-Oxley as a painful and costly-to-implement headache--are using SOA as an opportunity to both revitalize their business practices and strengthen their competitive positions. Get the details of what your firm must understand to meet the requirements of the Sarbanes-Oxley Act in McGraw-Hill's straightforward primer What is Sarbanes-Oxley? About the Author Guy P. Lander is an attorney with Davies Ward Phillips Vineberg. A frequent speaker on the Sarbanes-Oxley Act, Lander is the author of several successful law books and former chairman of both the Committee on Securities Regulation and the Business Law Section for the New York State Bar Association. He lives in New York City.